

**GOVERNMENT OF INDIA
MINISTRY OF CORPORATE AFFAIRS**

**LOK SABHA
UN-STARRED QUESTION No. 1262
ANSWERED ON FRIDAY, THE 09th FEBRUARY, 2018
[MAGHA 20, 1939 (SAKA)]**

INVESTOR AWARENESS PROGRAMMES

**1262. SHRI NISHIKANT DUBEY :
SHRIMATI RITI PATHAK :
DR. BANSHILAL MAHATO:**

Will the Minister of CORPORATE AFFAIRS

कारपोरेट कार्य मंत्री

be pleased to state:

- (a) whether the Government has conducted any Investor Awareness Programmes (IAP) during the last three years in the country especially in small cities and town, State/UT/year-wise;
- (b) if so, the details thereof along with the funds sanctioned, allocated and utilized under the programme during the said period, State/UT/Year-wise;
- (c) the details of the target set and achievements made during the above period along with the number of awareness camps held, State/UT/Year-wise;
- (d) whether the number of cases of frauds by companies has come down following the organization of such awareness programmes; and
- (e) if not, the reasons therefor and the action taken by the Government to safeguard the interests of the small investor?

ANSWER

**THE MINISTER OF STATE FOR LAW
AND JUSTICE AND CORPORATE AFFAIRS**

(Shri P.P. CHAUDHARY)

विधि और न्याय एवं कारपोरेट कार्य मंत्रालय में राज्य मंत्री

(श्री पी. पी. चौधरी)

(a)(b)&(c): Ministry of Corporate Affairs organizes Investor Awareness Programmes (IAPs) in partnership with the three Professional Institutes, namely Institute of Chartered Accountants of India (ICAI), Institute of Company Secretaries of India (ICSI), and Institute of Cost Accountants of India (ICoAI), with the objective of creating awareness amongst the investors about fraudulent schemes and facilitating informed investment decisions. These programmes are also being organized in the rural areas through Common Service Centers set up under Ministry of Electronics and Information Technology, Government of India.

The expenditure on each programme depends on the number of participants in the programme.

State/UT wise details of the programmes conducted during the last three years along with the funds sanctioned / allocated / utilized under the programme during the said period, are as Annexure-I.

(d)&(e): The Investor Awareness Programmes are conducted to prevent investment in companies floating fraudulent schemes. No. of Companies against whom investigation has been initiated by Serious Fraud Investigation Office (SFIO) for alleged involvement in frauds is as under:

Financial Year	No. of companies for which investigation assigned to SFIO
2015-16	184
2016-17	111
2017-18 (Up to 31.01.2018)	81

Annexure- I

ANNEXURE REFERRED TO IN REPLY TO LOK SABHA UNSTARRED QUESTION NO. 1262 to reply on 09/02/2018

Data on Investor Awareness Programme FY. 2014 -15 (Amount in Rs.)

Regions	States & UTs	IAPs			TOTAL IAPs	State wise Funds detail for IAPs			Remarks
		ICoAI	ICSI	ICAI		Sanctioned	Allocated	Utilised	
NR	Haryana	14	114	47	175	3302000	3302000	1010022	
	Himachal Pradesh	0	0	0	0			0	
	Jammu & Kashmir	0	0	3	3			35000	
	Punjab	1	14	14	29			185678	
	Uttar Pradesh	0	62	138	200			1194812	
	Uttrakhand	1	4	5	10			125354	
	Chandigarh	0	1	0	1			25000	
	Delhi	7	56	32	95			554970	
SR	Kerala	2	4	5	11	175137	175137	175137	
	Andman & Nikobar	0	0	0	0				
	Pudduchery	0	0	0	0				
	Tamilnadu	6	39	11	56	1232559	1232559	1232559	
WR	Goa	0	4	6	10	4300000	96588	96588	
	Daman & Diu	0	0	0	0		0	0	
	Maharashtra	64	106	209	379		4203412	4203412	
SER	Karnataka	2	6	14	22	1761000	500000	500000	
	Andhra Pradesh	2	26	0	28		956000	956000	
	Telengana	0	0	0	0				
ER	Bihar	0	0	19	19	1150000	100000	294500	
	Jharkhand	0	0	18	18			129652	
	Odisha	0	6	17	23			272277	
	West Bengal	0	4	4	8			225220	
NER	Arunachal Pradesh	0	0	0	0		30000		
	Assam	0	0	1	1			30000	
	Manipur	0	0	0	0				
	Meghyala	0	0	0	0				
	Mizoram	0	0	0	0				
	Nagaland	0	0	0	0				
	Sikkim	0	0	0	0				
	Tripura	0	0	0	0				
NWR	Chattisgarh	0	0	0	0	2400000	2280000	0	
	Gujarat	0	0	110	110			586213	
	Madhya Pradesh	0	0	37	37			245000	

Dadar & nagar Haveli	0	0	0	0			0
Lakshadweep	0	0	0	0			0
Rajasthan	0	21	103	124			884396
Total				1359			

Contd....P/2-

Data on Investor Awareness Programme FY. 2015 -16 (Amount in Rs.)

Regions	States & UTs	IAPs			TOTAL IAPs	State wise Funds detail for IAPs			Remarks
		ICoAI	ICSI	ICAI		Sanctioned	Allocated	Utilised	
NR	Haryana	6	112	54	172	3750000	3750000	975160	
	Himachal Pradesh	0	0	8	8			40000	
	Jammu & Kashmir	0	0	0	0			0	
	Punjab	0	32	144	176			879000	
	Uttar Pradesh	8	75	118	201			1215940	
	Uttrakhand	0	2	1	3			34506	
	Chandigarh	0	0	1	1			34695	
	Delhi	0	49	31	80			540000	
SR	Kerala	0	2	9	11	133534	133534	133534	
	Andman & Nikobar	0	0	0	0				
	Pudduchery	0	0	0	0				
	Tamilnadu	11	23	28	62	1116466	1116466	1116466	
WR	Goa	0	2	2	4	2250000	27920	27920	
	Daman & Diu	0	0	0	0		0	0	
	Maharashtra	61	30	88	179		2222088	2291402	
SER	Karnataka	1	1	2	4	1500000	81000	81000	
	Andhra Pradesh	9	22	7	38		901000	901000	

	Telengana	0	0	0	0				
ER	Bihar	0	0	33	33	1600000	1600000	165000	
	Jharkhand	0	3	2	5			78702	
	Odisha	0	4	5	9			149385	
	W Bengal	0	8	71	79			591172	
NER	Arunachal Pradesh				0	1450000	1450000	1350000	
	Assam	0	2	187	189				
	Manipur				0				
	Meghyala		2	8	10				
	Mizoram				0				
	Nagaland				0				
	Sikkim				0				
	Tripura		1		1				
NWR	Chattisgarh	0	0	9	9	5100000	4040000	211324	
	Gujarat	0	0	63	63			371230	
	Madhya Pradesh	0	1	371	372			1880000	
	Dadar & nagar Haveli				0			0	
	Lakshadweep				0			0	
	Rajasthan	1	23	286	310			2844105	
	Total				2019				

Contd....P/3-

Data on Investor Awareness Programme FY. 2016 -17 (Amount in Rs.)

Regions	States & UTs	IAPs			TOTAL IAPs	State wise Funds detail for IAPs			Remarks
		ICoAI	ICSI	ICAI		Sanctioned	Allocated	Utilised	
NR	Haryana	0	72	152	224	2665000	2615000	1178250	
	Himachal Pradesh	0	0	0	0			0	
	Jammu & Kashmir	0	0	0	0			0	
	Punjab	3	13	0	16			75000	
	Uttar Pradesh	41	32	88	161			782850	

	Uttrakhand	0	0	0	0			0	
	Chandigarh	0	1	1	2			30000	
	Delhi	3	19	22	44			386186	
SR	Kerala	0	2	7	9	85000	85000	85000	
	Andman & Nikobar	0	0	0	0				
	Pudduchery	0	0	0	0				
	Tamilnadu	11	17	19	47	715000	715000	715000	
WR	Goa	0	0	0	0		0	0	
	Daman & Diu	0	0	0	0	1500000	0	0	
	Maharashtra	38	4	38	80		1500000	950153	
SER	Karnataka	0	0	1	1				
	Andhra Pradesh	12	0	5	17	800000	240000	240000	
	Telangana	2	4	0	6				
ER	Bihar	0	1	27	28			169998	
	Jharkhand	0	3	25	28	1000000	1000000	183534	
	Odisha	0	2	8	10			114870	
	W Bengal	0	7	37	44			507881	
NER	Arunachal Pradesh				0				
	Assam	0	0	72	72				
	Manipur				0				
	Meghyala				0				
	Mizoram				0				
	Nagaland				0				
	Sikkim				0				
	Tripura				0				
NWR	Chattisgarh								
	Gujarat	0	0	206	206			1030000	
	Madhya Pradesh	0	0	1	1			5000	
	Dadar & nagar Havali				0				
	Lakshadweep				0				
	Rajasthan	1	4	95	100	2800000	2400000	637197	
Total					1096				

Data on Investor Awareness Programme													
Details provided by CSC-egovernance (Rural IAPs) (Amount in Rs.)													
Regions	States & UTs	2016-17			2015-16			2014-15			Remarks		
		No of IAPs	Fund details		No of IAPs	Fund details		No of IAPs	Fund details				
			Sanctioned	Allocated		Utilised	Sanctioned		Allocated	Utilised		Sanctioned	Allocated
ER	Bihar	102	227460	227460	186	414780	414780						
	Jharkhand	58	129340	129340	152	338960	338960	39	396500	396500			
	Odisha	108	240840	240840	66	147180	147180	41	416833	416833			
	W Bengal	235	524050	524050	109	243070	243070	90	915000	915000			
NR	Haryana	135	301050	301050	42	93660	93660						
	Himachal Pradesh												
	Jammu & Kashmir												
	Punjab												
	Uttar Pradesh	156	347880	347880	143	318890	318890						
	Uttarakhand												
	Chandigarh												
	Delhi												
SR	Kerala												
	Andman & Nikobar												
	Pudduchery												
	Tamilnadu												
WR	Goa												
	Daman & Diu												
	Maharashtra												
NWR	Chattisgarh							51	518500	518500			
	Gujarat												
	Madhya Pradesh	63	140490	140490	113	251990	251990	46	467667	467667			
	Dadar & nagar Haveli												
	Lakshadweep												
	Rajasthan	134	298820	298820	100	223000	223000						
SER	Andhra Pradesh												
	Karnataka												
	Telengana												
			3507240			4460000			3050000				

NER	Arunachal Pradesh										
	Assam	84	187320	187320	14	31220	31220	33	335500	335500	
	Manipur										
	Meghyala										
	Mizoram										
	Nagaland										
	Sikkim										
	Tripura										
Total	1075	2397250	2397250	925	2062750	2062750	300	3050000	3050000		
